

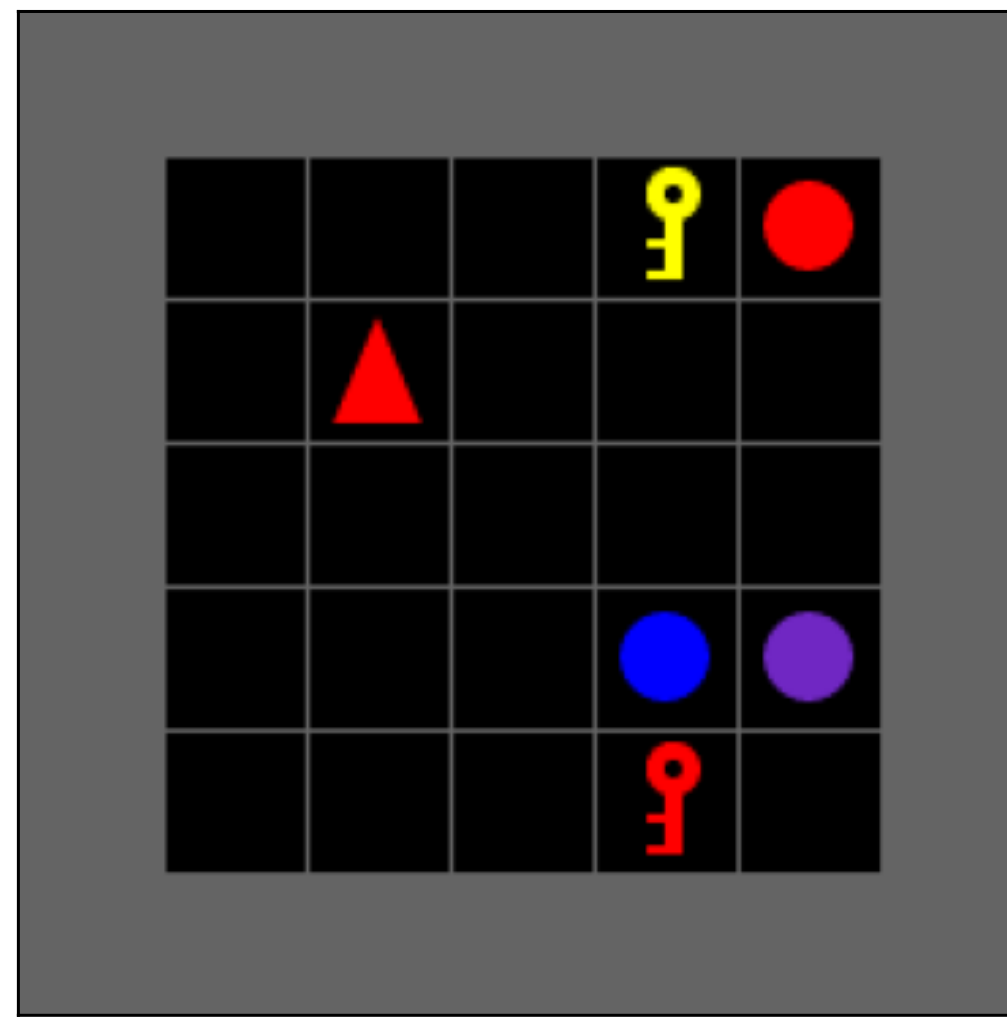
## Motivation

- Combining **reinforcement learning** with **language grounding** is difficult because the agent must explore while mastering multiple **language-conditioned tasks**.
- We address this with the **Compositionally-Enabled Reinforcement Learning Language Agent (CERLLA)**.
- CERLLA **reduces sample complexity** by leveraging **compositional policy representations** and a **semantic parser** trained via **reinforcement learning** and **in-context learning**.
- In a function-approximation setting, CERLLA exhibits **compositional generalization** to novel tasks.

## Key Contributions

- CERLLA**: a *compositionally-enabled* RL language agent with policies formed from **conjunctions, disjunctions, and negations** of pretrained compositional value functions.
- In-context learning + rollout feedback**: improves the semantic parsing capabilities of an LLM.
- 162 unique tasks**: solved in an augmented MiniGrid-BabyAI domain; to our knowledge, this represents the **largest concurrently-learned** compositional language-RL benchmark.

## BabyAI Domain (Chevalier-Boisvert et al. (2019))



“Pick up the red key”: the agent must combine **red** & **key** World Value Functions to solve the task.

## World Value Functions (Nangue Tasse et al., 2022)

**World Value Functions (WVFs)** are *goal-oriented* value functions that can be composed with logical operators such as  $\wedge$ ,  $\vee$ , and  $\neg$  to solve semantically meaningful tasks with no further learning. To achieve this, the reward function is extended to penalize the agent for attaining goals it did not intend:

$$\bar{r}(s, g, a) = \begin{cases} \bar{r}_{MIN} & \text{if } g \neq s \in \mathcal{G} \\ r(s, a) & \text{otherwise} \end{cases} \quad (1)$$

where  $\bar{r}_{MIN}$  is a large negative penalty. The agent receives the unmodified reward  $r(s, a)$  for all steps except where it reaches a different goal state than intended:  $g \neq s \in \mathcal{G}$ . Given  $\bar{r}$ , the related value function, termed a *world value function (WVF)*, can be written as

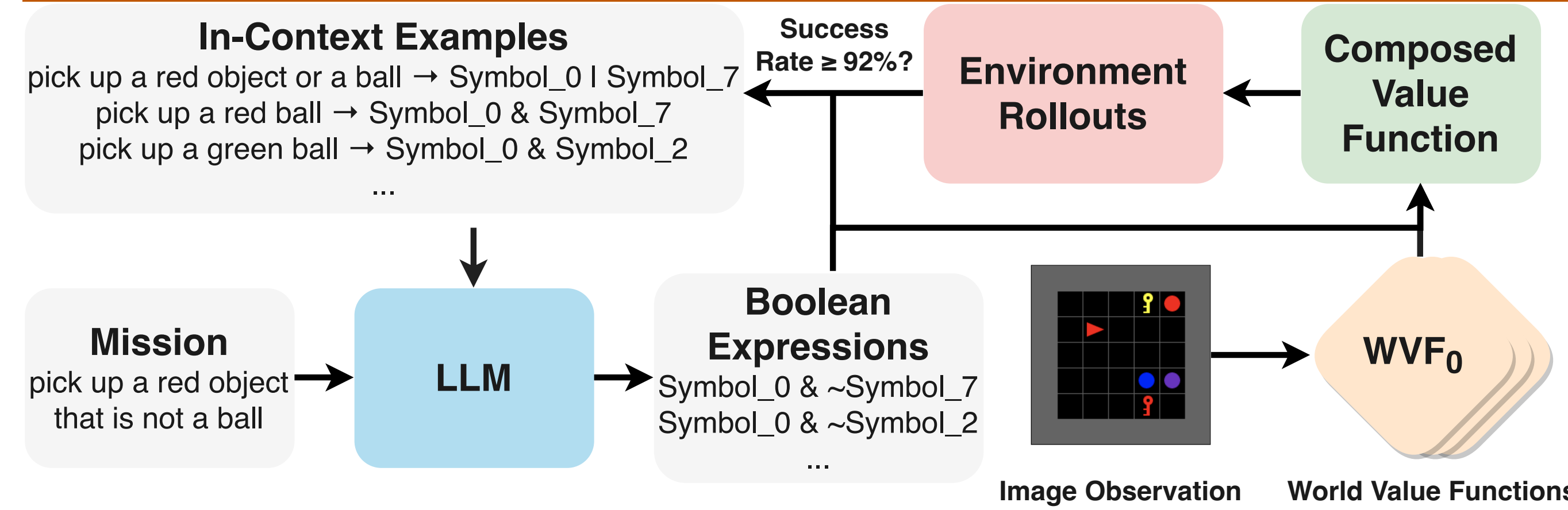
$$\bar{Q}(s, g, a) = \bar{r}(s, g, a) + \int_{\mathcal{S}} \bar{V}^{\pi}(s', g) p(s' | s, a) ds' \quad (2)$$

Assume the agent has separately learned the task of collecting red objects (task  $R$ ) and keys (task  $K$ ). Using these value functions, the agent can immediately solve the tasks defined by their union ( $R \vee K$ ), intersection ( $R \wedge K$ ), and negation ( $\neg R$ ) as follows:

$$\begin{aligned} \bar{Q}_{RK}^* &= \bar{Q}_R^* \vee \bar{Q}_K^* & \coloneqq & \max\{\bar{Q}_R^*, \bar{Q}_K^*\}, \\ \bar{Q}_{RK}^* &= \bar{Q}_R^* \wedge \bar{Q}_K^* & \coloneqq & \min\{\bar{Q}_R^*, \bar{Q}_K^*\}, \\ \bar{Q}_{\neg R}^* &= -\bar{Q}_R^* & \coloneqq & (\bar{Q}_{MAX}^* + \bar{Q}_{MIN}^*) - \bar{Q}_R^*, \end{aligned}$$

where  $\bar{Q}_{MAX}^*$  and  $\bar{Q}_{MIN}^*$  are the WVFs for the *maximum* and *minimum* tasks, respectively.

## Compositionally-Enabled Reinforcement Learning Language Agent (CERLLA)



**Pipeline overview:** Agent receives a BabyAI command + 10 BM25-retrieved examples, generates 10 Boolean parses, tests each for 100 roll-outs, and retains those with  $\geq 92\%$  as new in-context examples.

Example language instructions and corresponding Boolean expressions for the *yellow* and *box* attributes.

Language Instruction	Ground Truth Boolean Expression
pick up a yellow box	$yellow \ \& \ box$
pick up a box that is not yellow	$\sim yellow \ \& \ box$
pick up a yellow object that is not a box	$yellow \ \& \ \sim box$
pick up an object that is not yellow and not a box	$\sim yellow \ \& \ \sim box$
pick up a box or a yellow object	$yellow \   \ box$
pick up a box or an object that is not yellow	$\sim yellow \   \ box$
pick up a yellow object or not a box	$yellow \   \ \sim box$
pick up an object that is not yellow or not a box	$\sim yellow \   \ \sim box$
pick up a box	$box$
pick up an object that is not a box	$\sim box$
pick up a yellow object	$yellow$
pick up an object that is not yellow	$\sim yellow$

The prompting strategy for the CERLLA semantic parsing module.

Role	Content
System	"We are going to map sentences to Boolean expressions. The Boolean expression variable Symbols are numbered 0 to 8, e.g. <i>Symbol_0</i> , <i>Symbol_1</i> ... The operators are and : $\&$ , or : $ $ , not : $\sim$ . I will now give a new sentence and you will come up with an expression. Now wait for a new sentence command. Respond with a list of 10 candidate Boolean expressions. Respond only with the list of Boolean expressions. Never say anything else."
User (Example)	"pick up a red ball"
Assistant	" <i>Symbol_0</i> & <i>Symbol_7</i> "
[Additional in-context examples]	
User (Command)	"pick up a red object that is not a ball"
Assistant	" <i>Symbol_0</i> & <i>Symbol_1</i> & $\sim$ <i>Symbol_2</i> " " <i>Symbol_3</i> & $\sim$ <i>Symbol_4</i> " " <i>Symbol_5</i> & <i>Symbol_6</i> & $\sim$ <i>Symbol_7</i> " [Additional candidate expressions]

## References

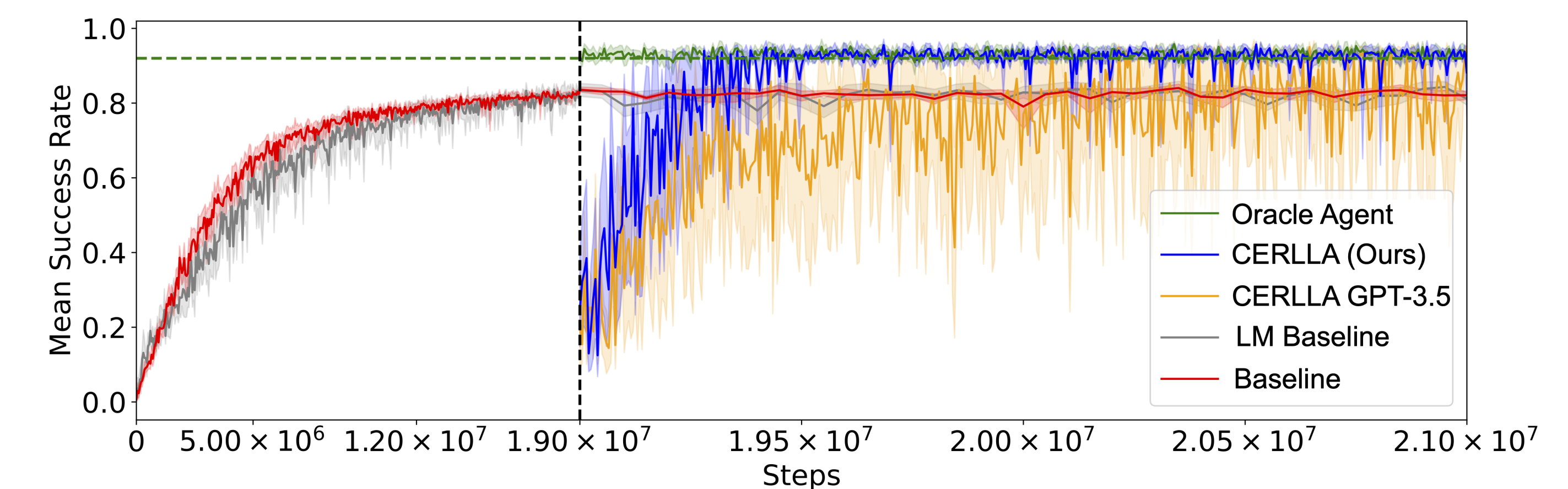
Maxime Chevalier-Boisvert, Dzmitry Bahdanau, Salem Lahlou, Lucas Willems, Chitwan Saharia, Thien Huu Nguyen, and Yoshua Bengio. BabyAI: First steps towards grounded language learning with a human in the loop. In *International Conference on Learning Representations*, 2019.

Geraud Nangue Tasse, Steven James, and Benjamin Rosman. World value functions: Knowledge representation for multitask reinforcement learning. In *The 5th Multi-disciplinary Conference on Reinforcement Learning and Decision Making (RLDM)*, 2022.

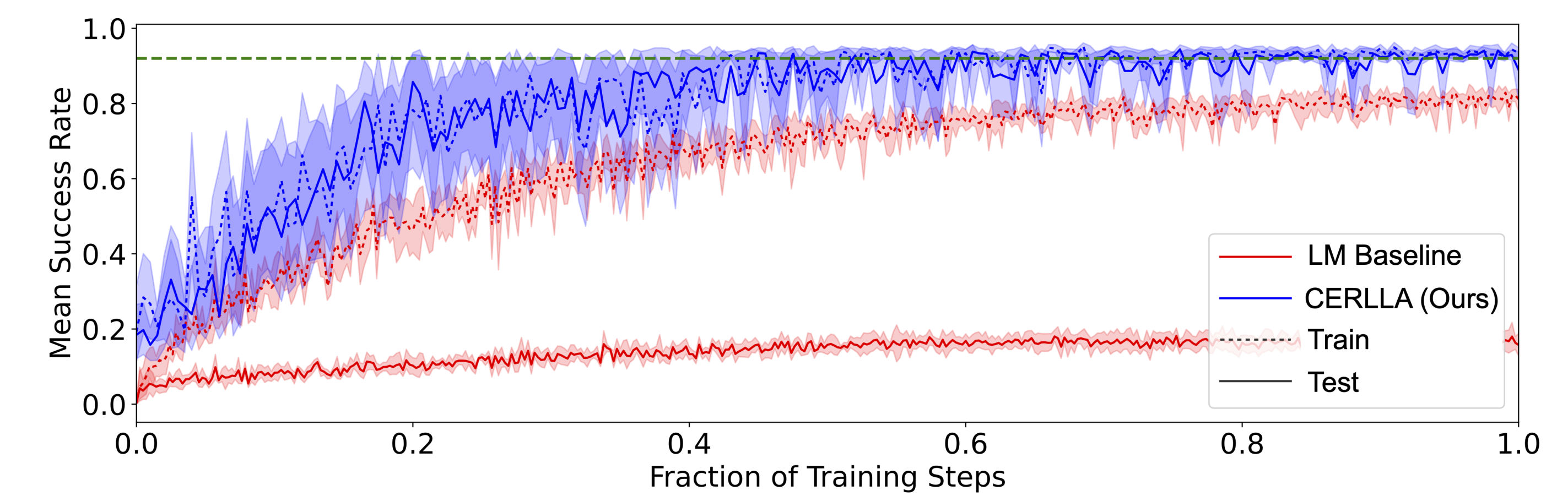
## Key Findings

- Compositionality improves performance and sample efficiency even when accounting for the pretraining steps of the World Value Functions.
- CERLLA generalizes systematically to held-out tasks by leveraging compositional structure.
- CERLLA converges to the performance of an Oracle Agent which has access to the correct compositions of the World Value Functions to complete each task.

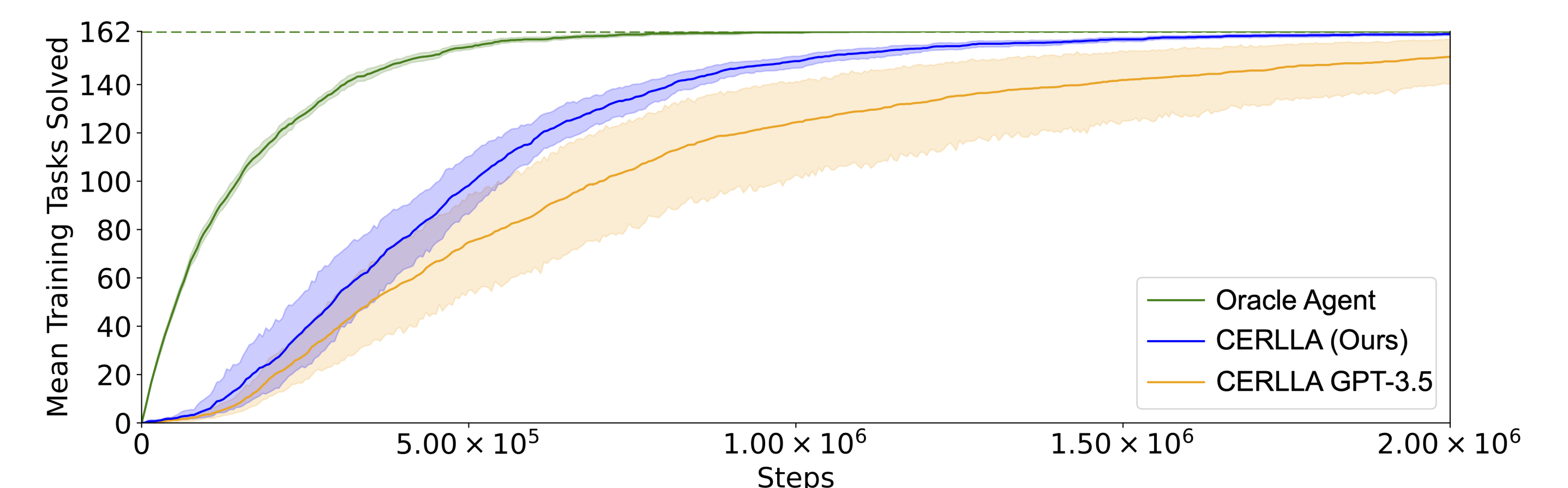
## Results



**162-task learning:** CERLLA reaches **92% success** in **0.6M env-steps** (19 M counted pre-train); baseline stalls near 80 %. Dashed line shows the Oracle upper bound.



**Held-out generalization:** CERLLA generalizes from 81 train tasks to 81 held-out test tasks, while the non-compositional LM baseline exhibits limited generalization.



**Solved-task count:** CERLLA rapidly acquires all tasks in the environment; logistic shape reflects a shrinking unsolved pool.