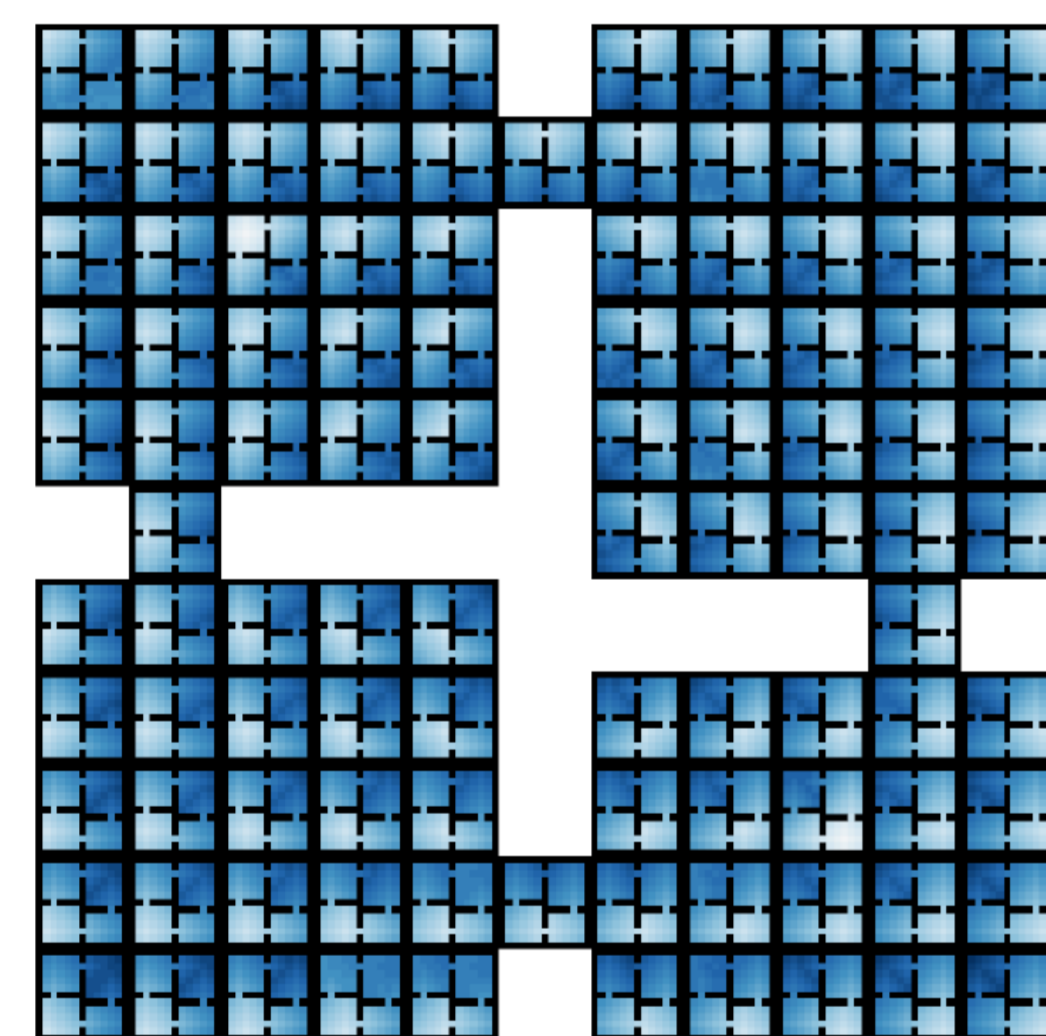


A general value function with mastery of the world (provably) that encodes the solution to the current task and has downstream zero-shot abilities.

Introduction

- How do we learn and represent knowledge that is **sufficient** for a **general agent** that needs to **solve multiple tasks** and **plan** in a given world?

- General value functions (GVFs) [1] are a general approach that tries to answer this question. Consider for example a 4-rooms gridworld. A GVF here can be defined by defining a set of goals $G := S$ and a goal-specific reward function



$R(s, g, a, s') := 1$ if $s=g$ else 0 . The GVF is given by,

$$Q(s, g, a) = \mathbb{E}_s \left[R(s, g, a, s_1) + \sum_{t=1}^{\infty} \gamma^t R(s_t, g, a_t, s_{t+1}) \right]$$

- GVFs can also be learned efficiently in non-tabular settings using universal value function approximators (UVFAs) [2].

- However, what is the **origin of goals** and how to define **goal-specific rewards** in general? WVFs are a subset of GVFs that answer these questions—goals are simply **states with terminal transitions**, while goal rewards are simply task rewards with a **penalty term** added for achieving wrong goals.

PAPER



[1] Sutton, Richard S., et al. Horde: A scalable real-time architecture for learning knowledge from unsupervised sensorimotor interaction. In ICML 2011.
 [2] Schaul, Tom, et al. Universal value function approximators. ICML 2015.
 [3] Sutton, Richard S. Integrated architectures for learning, planning, and reacting based on approximating dynamic programming. MLP 1990.

World Value Functions

- We first define the agent's **internal goals** G as all states with terminal transitions.
- The WVF $Q(s, g, a)$ for a task in a given world is defined by the agent's pseudo-reward function:

$$R(s, g, a, s') = \begin{cases} R_{MIN} & \text{if } g \neq s \text{ and } s' \text{ is terminal,} \\ R(s, a, s') & \text{otherwise} \end{cases}$$

where R_{MIN} is a **large penalty** the agent gives itself for achieving the wrong internal goals.

- This leads to **mastery** (provably): The agent learns how to achieve all internal goals.

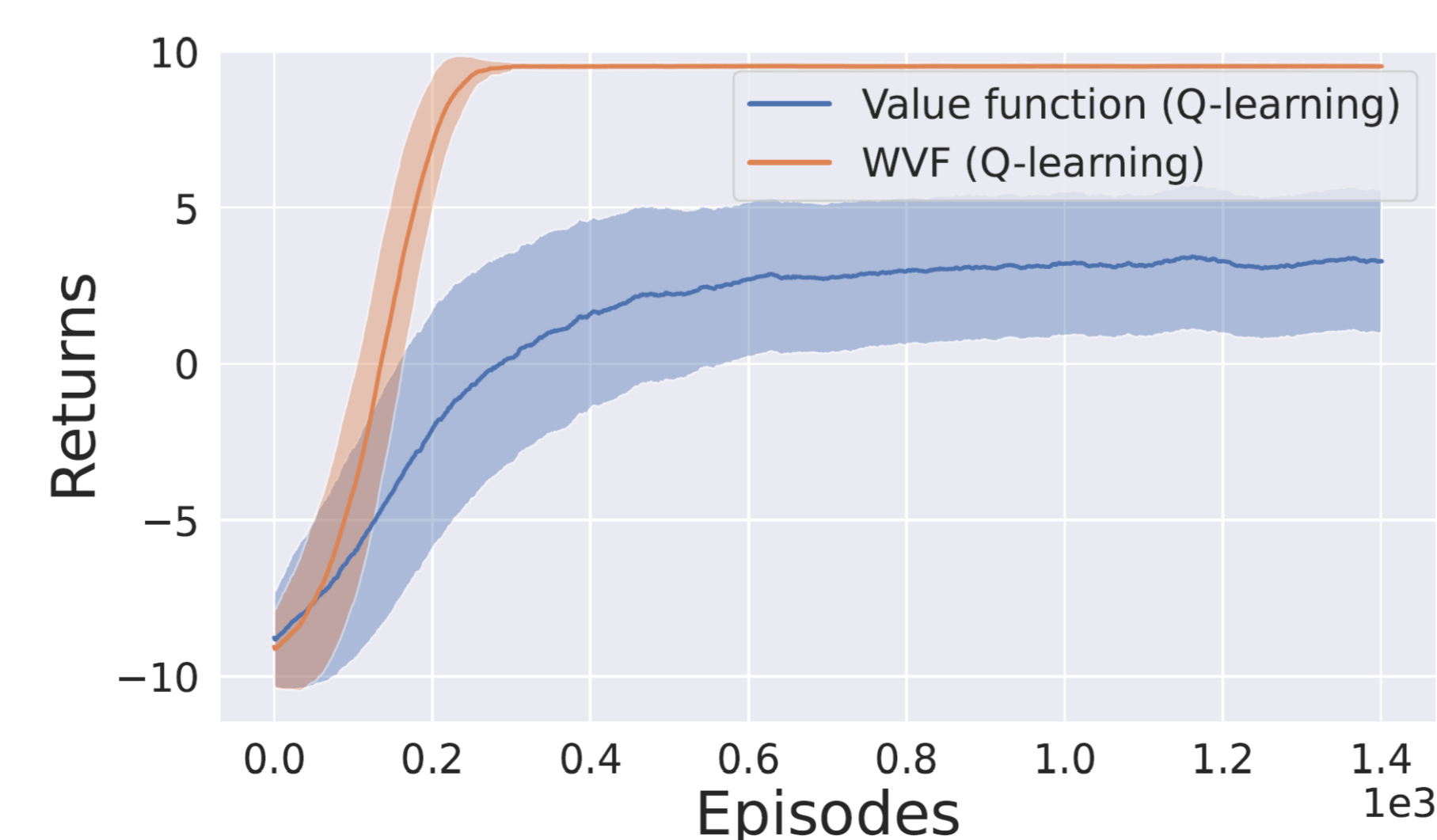
- The regular task rewards, value function, and policy can always be recovered (provably):

$$R(s, a, s') = \max_g R(s, g, a, s'), \quad Q(s, a) = \max_g Q(s, g, a) \\ \pi(s) \sim \text{argmax}_a \max_g Q(s, g, a)$$

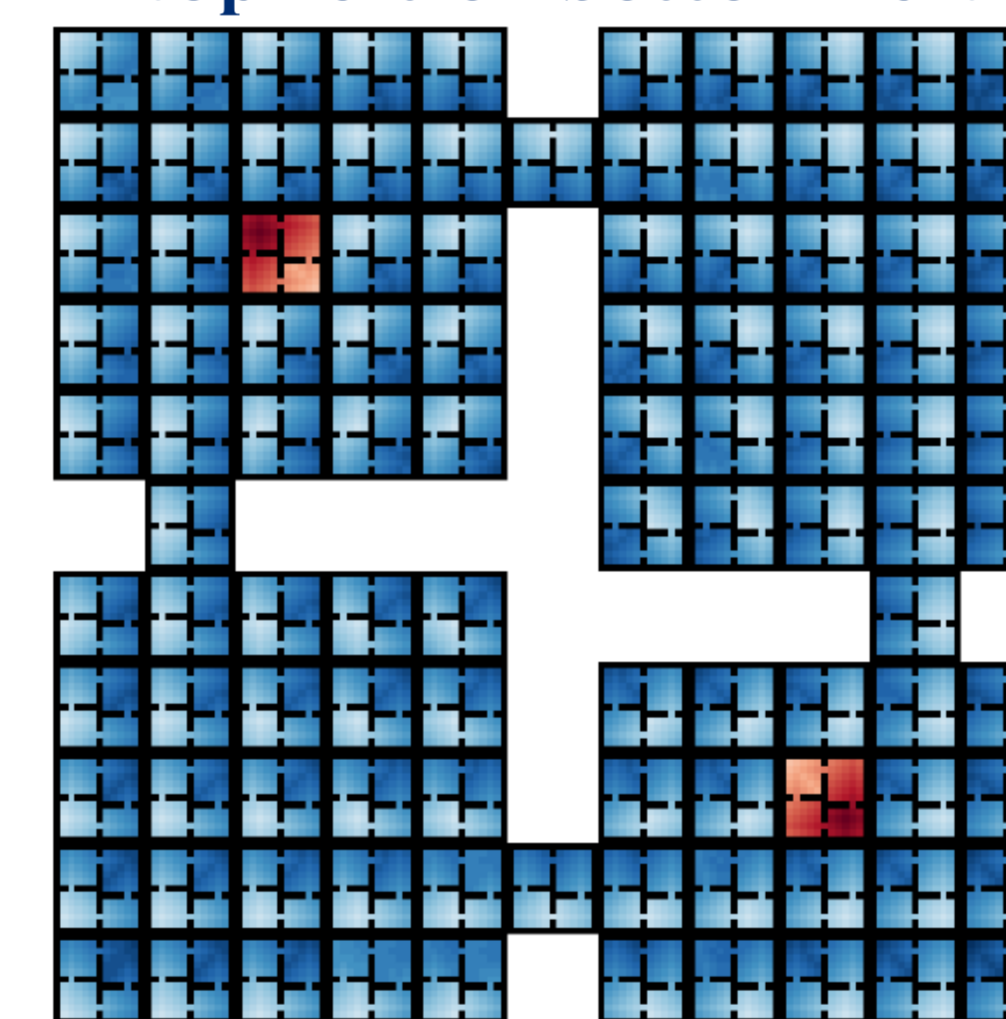
- Finally, WVFs encode the dynamics of the world. When $G = S$, $p(\cdot | s, a)$ can be estimated by solving the system of Bellman equations:

$$Q^*(s, g, a) = \sum_{s' \in S} p(s' | s, a) [R(s, g, a, s') + V^*(s, g)]$$

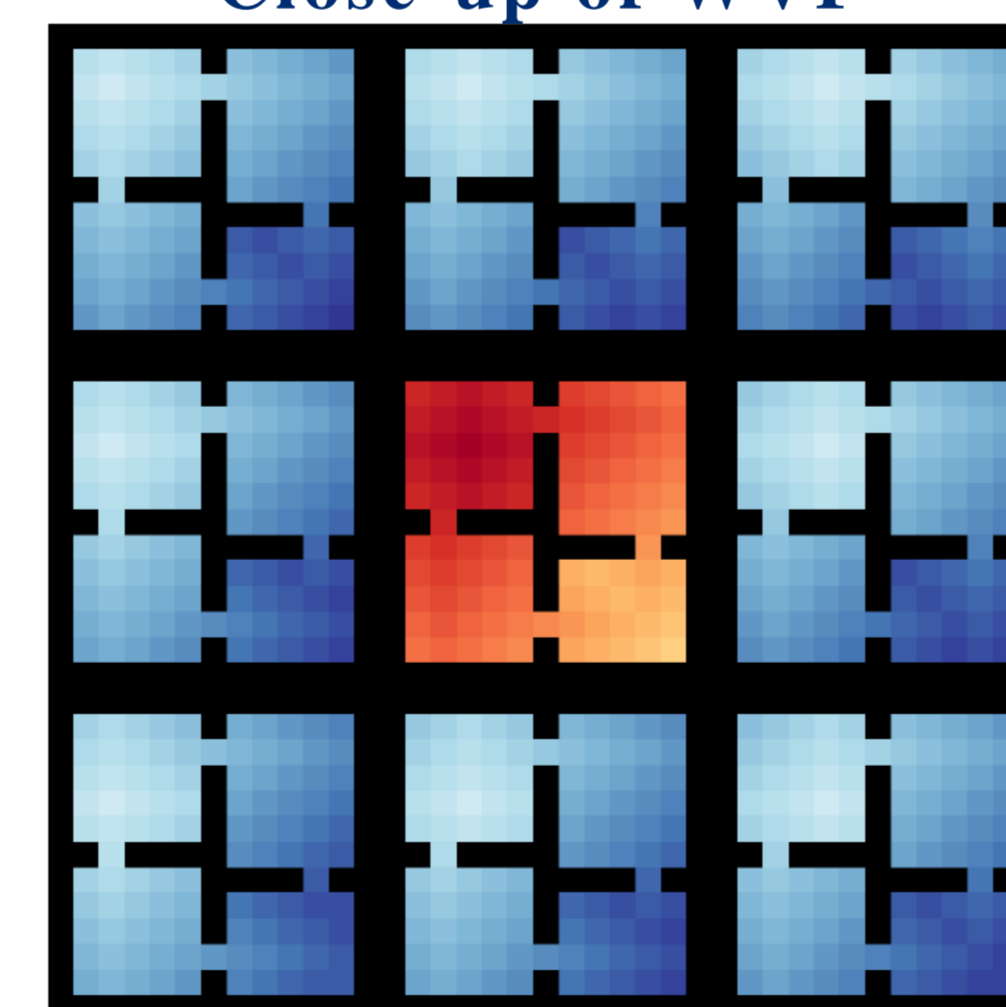
$\forall g \in G$. This can then be used for model-based RL



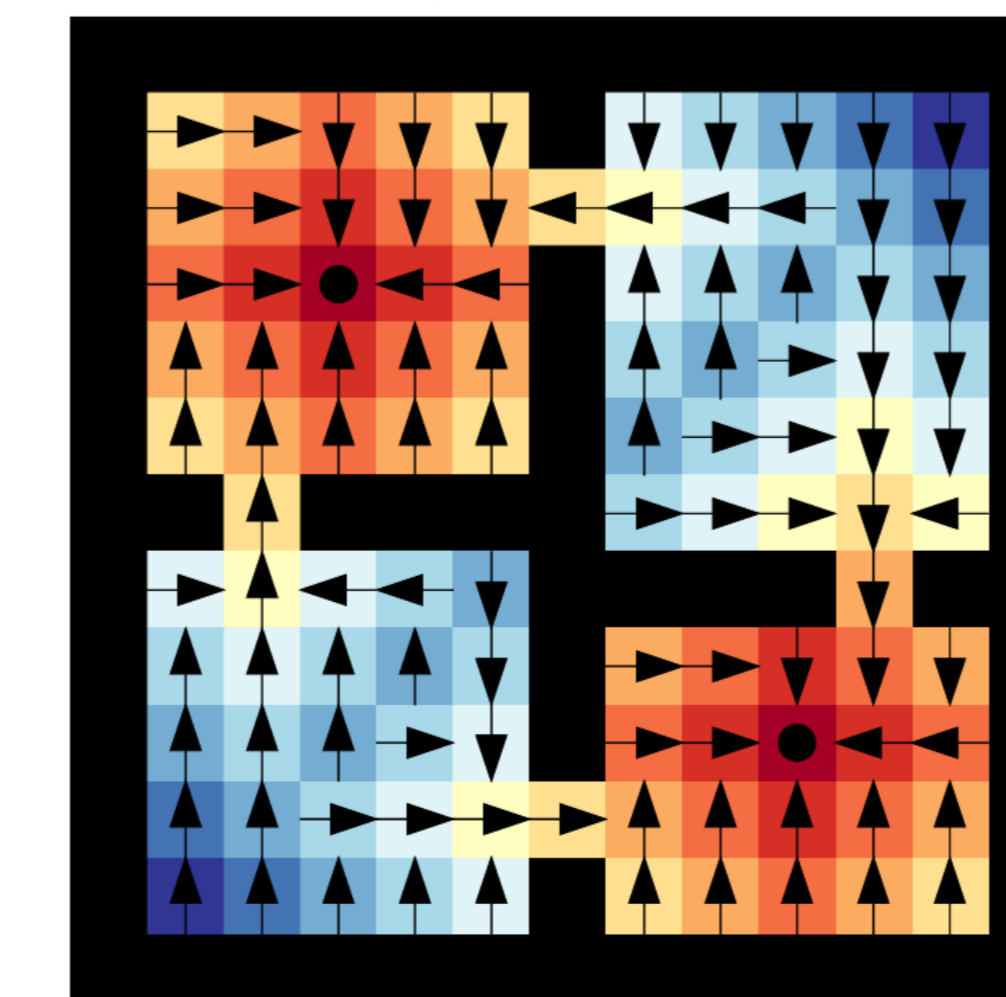
Learned WVF for the task "top-left or bottom-left"



Close-up of WVF



Inferred Values and Policy

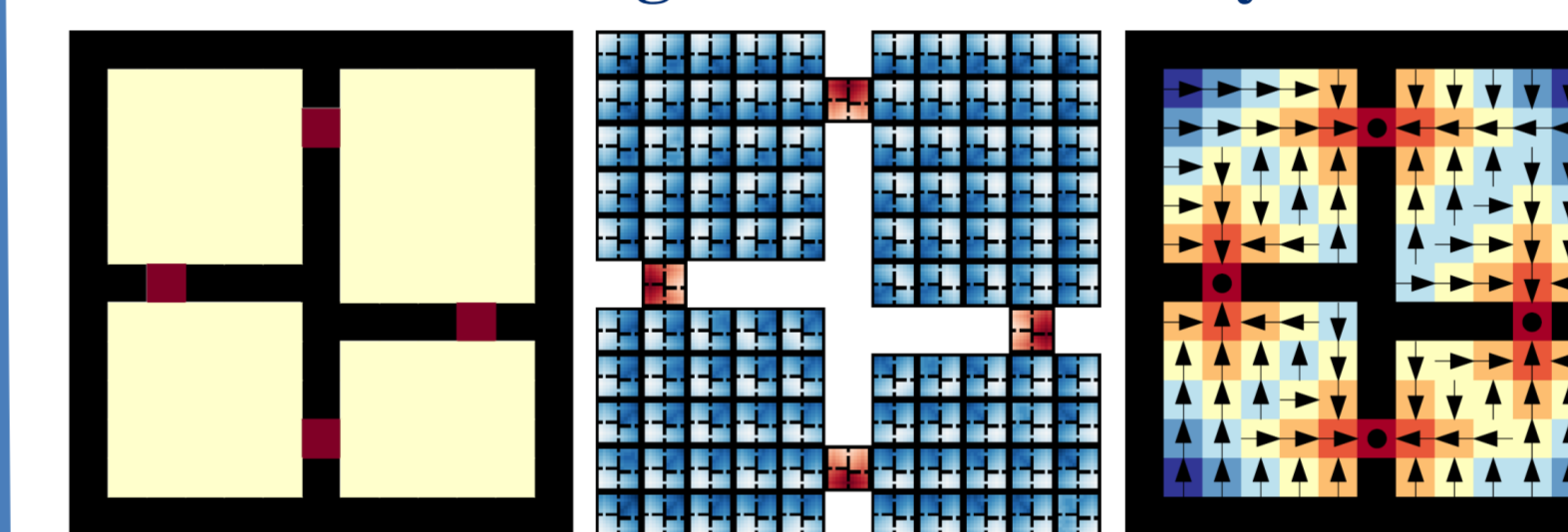


Zero-shot Values and Policies from Rewards

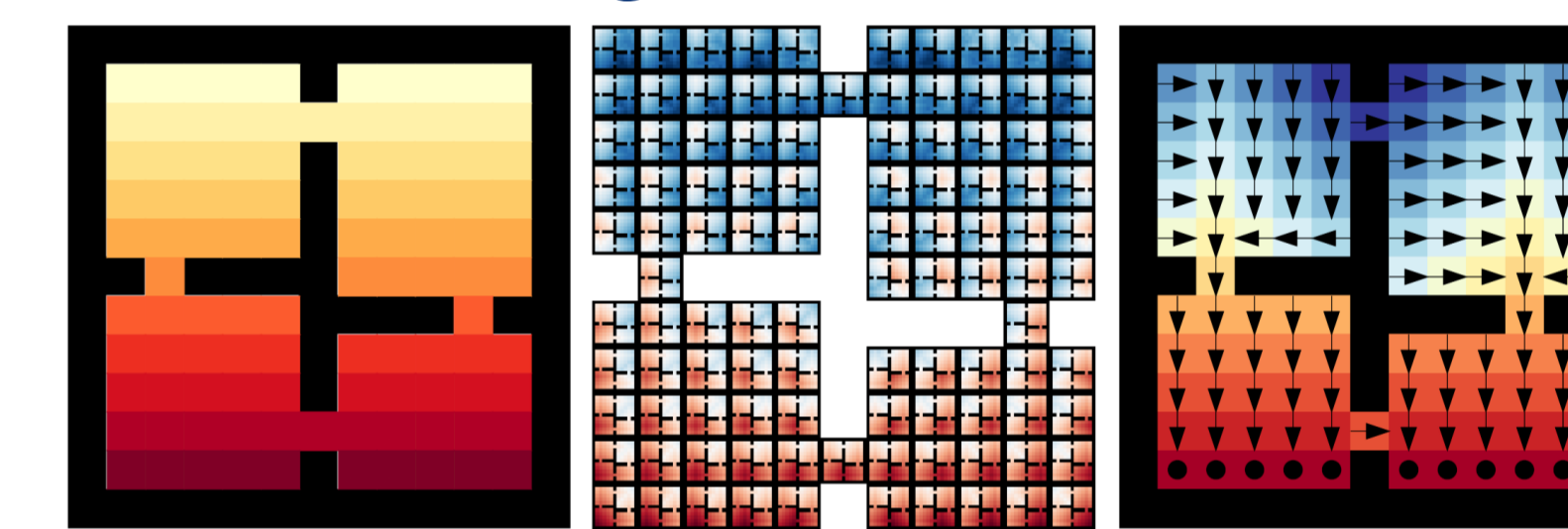
- We can obtain the WVF Q_M^* for **any** task given its goal rewards R_G and an **arbitrary** WVF Q^* :

$$Q_M^*(s, g, a) \approx Q^*(s, g, a) + [\max_a R_G(g, a) - \max_a Q^*(g, g, a)]$$

Navigate to a hallway



Navigate to the bottom



Fast RL with Zero-shot Dynamics

Algorithm 2: Dyna for WVFs using inferred transition functions

Initialise: WVF Q , Reward function R , goal buffer G , learning rate α

foreach episode do

Observe initial state $s \in S$ and sample $g \in G$

while episode is not done do

$$a \leftarrow \begin{cases} \text{argmax}_{a \in A} Q(s, g, a) & \text{w.p. } 1 - \epsilon \\ \text{a random action} & \text{w.p. } \epsilon \end{cases}$$

Execute a , observe reward r and next state s'

$R(s, a, \cdot) \leftarrow r$

if s' is absorbing **then** $G \leftarrow G \cup \{s\}$

for $g' \in G$ **do**

$\bar{r} \leftarrow \bar{R}_{MIN}$ **if** $g' \neq s$ and $s \in G$ **else** r

$\delta \leftarrow [\bar{r} + \max_{a'} Q(s', g', a')] - Q(s, g', a)$

$Q(s, g', a) \leftarrow Q(s, g', a) + \alpha \delta$

repeat N **times**

$s \leftarrow$ random previous state

$a \leftarrow$ random previous action taken in s

$r \leftarrow R(s, a, \cdot)$

$s' \leftarrow$ Solving $N(s)$ Bellman equations

$MSE \leftarrow \frac{1}{|N(s)|} \sum_{g \in N(s)} (Q(s, g, a) -$

$[\bar{R}(s, g, a, s') + V(s', g)])^2$

if $MSE \leq$ threshold **then**

for $g' \in G$ **do**

$\bar{r} \leftarrow \bar{R}_{MIN}$ **if** $g' \neq s$ and $s \in G$

else r

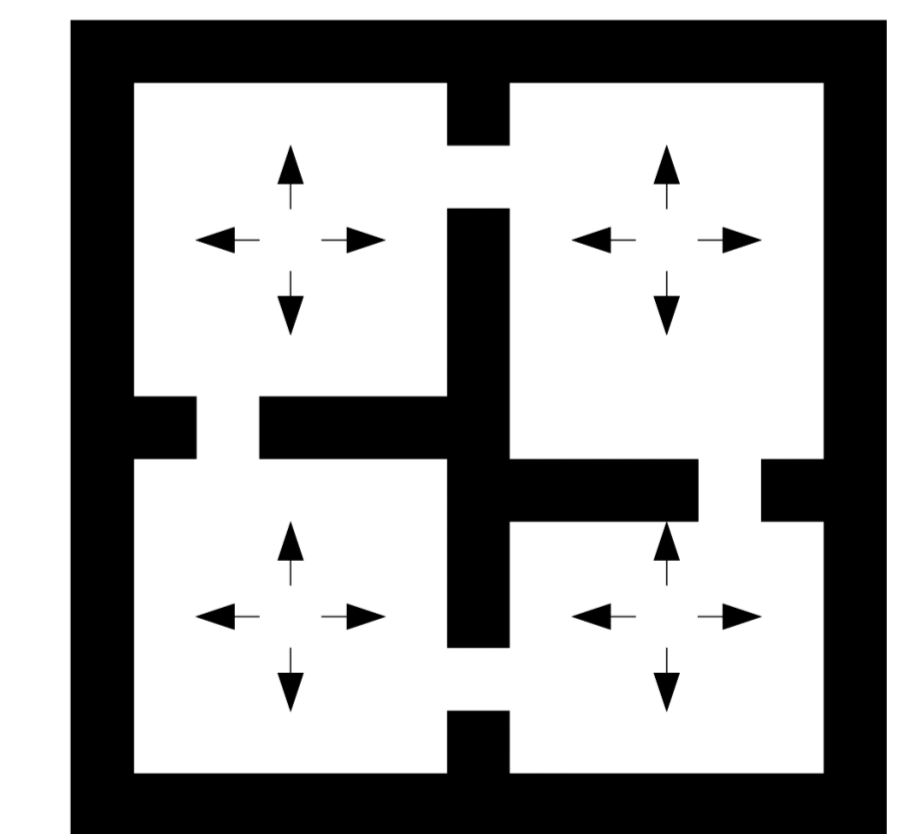
$\delta \leftarrow [\bar{r} + \max_{a'} Q(s', g', a')] -$

$Q(s, g', a)$

$Q(s, g', a) \leftarrow Q(s, g', a) + \alpha \delta$

$s \leftarrow s'$

Inferred Transitions



Imagined Rollouts

